# STIC Biotechnology Systems Branch

# RAW SEQUENCE LISTING ERROR REPORT

The Rinter	anology Systems Branch of the Scientific and Technical Information
Center (ST	IC) detected errors when processing the following computer readable
form:	i

Application Serial Number: Source: Date Processed by STIC:	10/540,479
DILL DD THE IN	EXPLAINS DETECTED ERRORS. FORMATION TO THE APPLICANT BY EITHER: THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE

APPLICANT, WITH A NOTICE TO COMPLY or, TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual - ePAVE) -
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised	01	12.4	/05

### Sequence listing

## CDR sequences

SEQ ID NO. 28 SEQ ID NO. 29

SEQ ID NO. 30

SEQ ID NO. 31

					, -
_		NO.		DAWMD NYWMN	CS
		NO.		EIRSKANNHATYYAESVKG EIRLKSNNYTTHYAESVKG	In
_		NO.	5 6	GGYGFDY HYYFDY	1 des
_		NO.	7 8	RSSQSIVHSNGNTYLE RSSKSLLHSNGITYFF	se,
-		NO.		KVSNRFS QMSNLAS	/
		NO.		FQGSHVPLT AQNLELPPT	1
- CDR	se	<del>quen</del>	<del>ces (cano</del>	nical structure varia	nts/
SEQ SEQ	ID ID	NO. NO. NO.	13 14 15 16	NYWVN NYWIN NYWYN NYWWN	2)
SEQ SEQ	ID ID	NO. NO. NO.	17 18	DAWID DAWVD DAWYD	27
SEQ	ID	NO.	20	DAWWD	
SEQ	ID	NO. NO.	21 22 23	EIRSKANNYATYYAESVKG EIRLKSNKYTTHYAESVKG EIRLKSNSYTTHYAESVKG	
SEQ	ID	NO. NO.		RPSQSIVHSNGNTYLE RSSQSIVHSNGNTYFE RPSQSIVHSNGNTYFE	
SEO	ID	NO.	27	RPSKSLLHSNGITYFF	

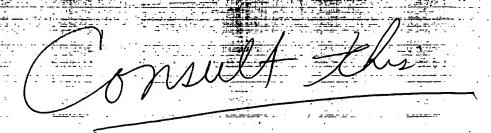
RSSKSLLHSNGITYLF

RPSKSLLHSNGITYLF

FOGSHPPLT

AQNLEPPPT

Jel sample Sequence Listing Jos valid formet (attacked)



•	•						
<110>	Smith, John	:: Smithgene	e Inc.				
<120>	Example of	a Sequence I	isting		·		
<130>	01-00001						
<140> <141>	PCT/EP98/00 1998-12-31	0001	:				•
<150> <151>	US 08/999,9 1997-10-15	99					
<160>	4						
<170>	PatentIn ve	ersion 2.0					
<210> <211> <212> <213>	l 389 DNA Paramecium	sp.	·			,	٠
<220> <221> <222>	CDS (279)(38	9)					
<300> <301> <302>		nd Character		Gene Encodin	g a		
<303> <304> <305>	Journal of 1	om Parameciu Genes	m sp.		•		
<306> <307> <308> <309>	4 1-7 1988-06-31 123456 1988-06-31						
<400>	1					/	
agctgtagtc	attcctgtgt :	cctcttctct	ctgggcttct	caccctgcta.	atcagatctc :	•	60
agggagagtg	tcttgaccct	cctctgcctt	tgcagcttca	caggcaggca	ggcaggcagc	:	120
tgatgtggca	attgctggca	gtgccacagg	cttttcagcc	aggcttaggg	tgggttccgc		180
cgcggcgcgg	cggcccctct	cgcgctcctc	tcgcgcctct	ctctcgctct	cctctcgctc		240

					(21. n∮p. · ·				Table 1			•		Alle a		
ggac	ctgat	t ag	gtgaç	cao	gagga	ggggg	- caç	ttag	- <del> </del>		gtt	tca		装して は	agc	296
animore :		127.2	er e zaja	1346			· ·			· Met.	Va1	Ser		Phe A 5	Ser	
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	***	***	222.	-tgg-	•		ttt	tat.	ttg	ttt	gtt	tgt	ttg	ttc	caa	344
"ttg Leu	tct Ser	ttc Phe	Lys		Pro	Gly		Cys	Leu	Phe	Val	Cys	Leu	Phe	Gln	• • •
Leu	Ser.	1	10	_		013		15		•		_	20			
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•					•			ė								200
tgt	CCC	aaa	gtc	ctc	CCC	tgt	cac	tca	tca	ctg	cag	ccg	aat	ctt Leu	•	389
Cys	Pro.	Lys	Val	Leu	Pro	Cys	His	Ser	Ser	Leu	Gln	Pro	Asn	Leu		
		25					30					35				
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<210	>	2						•								
<211		37														
<212		PR														
<213	>	Pa	ramec	ium s	p.											
<400	,	2 ·														
	Val	Ser	Met	Phe	Ser	Leu	Ser	Phe	Lys	Trp	Pro	Gly	Phe	Cys	Leu	
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_								•								
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Leu	Gln	Pro	Asn	Leu												
		35														
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				20040	CII	- arpi										
<400	>	3														
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							•									
<210	>	4													•	
<400		4												1		
000														,		

cable—The numeric identifier shall be used only in the "Sequence" Listing: "The order and presentation of the items of information in the "Sequence Listing" shall conformation to the arrangement given below. Each item of information shall begin on a new line and shall begin with the numeric identifier enclosed in angle brackets as shown. The submission of those items of information designated with an "M" is mandatory. The submission of those items of information designated with an "O" is optional. Numeric identifiers <110> through <170> shall only be set forth at the beginning-of the "Sequence Listing." The following table illustrates the numeric identifiers.

			•
Numeric Identifier	Definition	Comments and Format	Mandatory (M) or Optional (O)
<110>	Applicant	Preferably max. of 10 names; one name per line; preferable format: Surname, Other Names and/or Initials	M
<120>	Title of Invention	· · · · · · · · · · · · · · · · · · ·	M .
<130>	File Reference	Personal file reference	M when filed prior to assignment of appl. number
<140>	Current Applica- tion Number	Specify as: US 07/999,999 or PCT/US96/99999	M, if available
<141>	Current Filing Date	Specify as: yyyy-mm-dd	M, if available
<150>	Prior Application Number	Specify as: US 07/999,999 or PCT/US96/99999	M, if applicable include priority documents under 35 USC 119 and 120
<151>	Prior Application Filing Date	Specify as: yyyy-mm-dd	M, if applicable
<160>	Number of SEQ ID NOs	Count includes total number of SEQ ID NOs	M /
<170>	Software	Name of software used to create the Sequence Listing	ο.
<210>	SEQ ID NO:#:	Response shall be an integer representing the SEQ ID NO shown	м -
<211>	Length	Respond with an integer expressing the number of bases or amino acid residues	M zs

70			
**************************************	Type#	Whether presented	Mark Table
		sequence molle	
		-cule is DNA	
		RNA, or PRT	
	and the second s	(protein) III	The state of the s
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	The production of the control of the	a nucleotide	
	Andrews - Harris Communication	sequence con	
		tains both DNA	ilan su u <del>mani</del> k .
		and RNA frag-	
Contract		ments, the	
		type shall be	
÷*	The same of the sa	"DNA." In ad-	•
		dition, the	•
	•	combined DNA/ RNA molecule	
		shall be further	
		described in	
		the <220> to	
		<223> feature	
		section.	
		section.	
10125	0	Scientific name,	М
<213>	Organism	i.e. Genus/species,	1.1
		Unknown or Artifi-	•
		cial Sequence. In	
	•	addition, the	
		"Unknown" or	
		"Artificial Se-	
		quence" organisms	
		shall be further	
		described in the	
		<220> to <223>	
		feature section.	·
		reacure section.	
<220>	Feature	Leave blank after	M, under the
	reacure	<220>. <221-223>	following condi-
frank frank		provide for a	tions: if "n,"
		description of	"Xaa," or a mod-
		points of bio-	ified or unusual
		logical signi-	L-amino acid or
		ficance in the	modified base was
		sequence.	used in a se-
			quence; if ORGAN-
			ISM is "Artifi-
			cial Sequence" or
			"Unknown"; if
			molecule is
			combined DNA/RNA.
			•
<221>	Name/Key	Provide appropriate	M, under the fol-
	•	identifier for	lowing conditions:
		feature, pre-	if "n," "Xaa," or
		ferably from	a modified or un-
		WIPO Standard	usual L-amino 🔔
•		ST.25 (1998),	acid or modified
		Appendix 2,	base was used in
		Tables 5 and 6	a sequence
<222>	Location	Specify location	M, under the fol-
		within sequence;	lowing conditions:
		where appropriate	if "n," "Xaa," or
		state number of	a modified or un-
		first and last	usual L-amino
		haces/amino acide	acid or modified

bases/amino acids

acid or modified

			seuse	

	-mation-	information;	-lowing conditions:
		four lines maximum	if "n," "Xaa, " or
		And the second	a modified or un- usual L-amino acid
200	TO THE STATE OF TH	A THE STATE OF THE	or modified base
4.131.5			was used in a
marrow VI		The second secon	sequence; if ORGANISM
· • • • • • • • • • • • • • • • • • • •	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	is "Artificial
	. •		Sequence" or
		•	"Unknown"; if molecule is com-
and a despression.			bined DNA/RNA.
<300>	Publication	Leave blank	0
\500>	Information	after <300>	<b>0</b>
		42002 10007	
<301>	Authors	Preferably max of ten named	0
		authors of publi-	
		cation; specify	
		one name per line;	·
		preferable format:	
		Surname, Other	
		Names and/or Initials	
		111111111111111111111111111111111111111	
<302>	Title		0
<303>	Journal		0 .
(303)	Oddinai		U
<304>	Volume		0
<305>	Issue		0
			•
<306>	Pages		0
<307>	Date	Journal date on which	0
		data published;	
		specify as yyyy-mm-	
		dd, MMM-yyyy or	
		Season-yyyy	·
<308>	Database	Accession number	0 ' /
	Accession	assigned by data-	,
	Number	base including	
		database name	
<309>	Database Entry	Date of entry in	
٠. ١	Date	database; specify	
	•	as yyyy-mm-dd or	-
	•	мм-уууу	
<310>	Patent Document	Document number;	0
	Number ,	for patent-type	<b>5</b>
		citations only.	
		Specify as, for	
wh		example, US	
		07/999,999	<b></b>

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<312>	Publica	ation-Date	- Document pu	iblication -	0,223
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<313>	Relevant Residues	FROM (position) TO (position)	0
<400>	Sequence	SEQ ID NO should follow the numeric identifier and should appear on the line preceding the actual	·м

#### 5. Section 1.824 is revised to read as follows:

- 1.824 Form and format for nucleotide and/or amino acid sequence submissions in computer readable form.
- (a) The computer readable form required by 1.821(e) shall meet the following specifications:

sequence

- (1) The computer readable form shall contain a single "Sequence Listing" as either a diskette, series of diskettes, or other permissible media outlined in paragraph (c) of this section.
- (2) The "Sequence Listing" in paragraph (a) (1) of this section shall be submitted in American Standard Code for Information Interchange (ASCII) text. No other formats shall be allowed.
- (3) The computer readable form may be created by any means, such as word processors, nucleotide/amino acid sequence editors or other custom computer programs; however, it shall conform to all specifications detailed in this section.
- (4) File compression is acceptable when using diskette media, so long as the compressed file is in a self-extracting format that will decompress on one of the systems described in paragraph (b) of this section.
- (5) Page numbering shall not appear within the computer readable form version of the "Sequence Listing" file.
- (6) All computer readable forms shall have a label permanently affixed thereto on which has been hand-printed or typed: the name of the applicant, the title of the invention, the date on which the data were recorded on the computer readable form, the operating system used, a reference number, and an application serial number and filing date, if known.
- (b) Computer readable form submissions must meet these format requirements:
- (1) Computer: IBM PC/XT/AT, or compatibles, or Apple Macintosh;
- (2) Operating System: MS-DOS, Unix or Macintosh;

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